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PTO/SB/21 (AW)(03-03)

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/017,367

Filing Date December 12, 2001

First Named Inventor Kevin K. Lehmann, et al.

Art Unit 1743

Examiner Name

Attorney Docket No. PRU-101US

## ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/Declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication  
to Group☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply  
Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
identify below):

## Remarks:

1 Reference, 1 Search Report, PTO-1449 Forms (2), Return Receipt Postcard

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or  
Individual

Jacques L. Etkowicz

Registration No. (Attorney/Agent)

41,738

Signature

Date

May 22, 2003

## CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

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Name (Print/Type)

Anne Pinto

Signature

Anne Pinto

5/22/03

Date

May 22, 2003

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PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/017,367  
Applicant: Kevin K. Lehmann, et al.  
Filed: December 12, 2001  
Title: FIBER-OPTIC BASED CAVITY RING-DOWN SPECTROSCOPY APPARATUS  
TC/A.U.: 1743  
Examiner:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

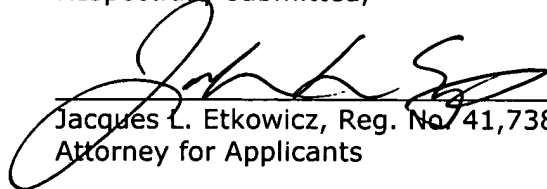
Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
\_\_\_\_\_  
Jacques L. Etkowicz, Reg. No. 41,738  
Attorney for Applicants

JLE/ap

Enclosures: PTO Form 1449 (2)  
(1) Reference  
(1) Search Report  
Transmittal Form

Dated: May 22, 2003

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

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De. Etkowicz  
\_\_\_\_\_

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**SHEET 1 of 1**

**Complete if Known**

Application Number	10/017,367
Filing Date	December 12, 2001
First Named Inventor	Kevin K. Lehmann et al.
Art Unit	1743
Examiner Name	
Attorney Docket No.	PRU-101US

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

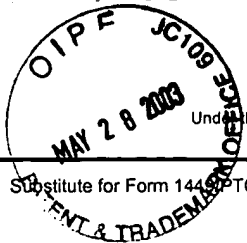
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

**<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.**

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Substitute for Form 1449 PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

## Complete if Known

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## NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	1)	International Search Report dated May 16, 2003, application no. PCT/US 02/38421.	<input type="checkbox"/>
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Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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